

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**  
**(Under 37 CFR 1.97(b) or 1.97(c))**

Docket No.  
UCT-0016

In Re Application Of: **BAHRAM JAVIDI ET AL**

11011 U.S. PRO  
09/022805

03/30/01

Serial No.

Filing Date

Examiner

Group Art Unit

Title: **MULTI-DIMENSIONAL PATTERN RECOGNITION BY USE OF DIGITAL HOLOGRAPHY**

Address to:

**Assistant Commissioner for Patents  
Washington, D.C. 20231**

**37 CFR 1.97(b)**

1. ☒ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application; within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; or before the mailing date of a first Office Action on the merits, whichever event occurs last.

**37 CFR 1.97(c)**

2. ☐ The Information Disclosure Statement submitted herewith is being filed after three months of the filing of a national application, or the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; or after the mailing date of a first Office Action on the merits, whichever occurred last but before the mailing date of either:

1. a Final Action under 37 CFR 1.113, or
  2. a Notice of Allowance under 37 CFR 1.311,
- whichever occurs first.

Also submitted herewith is:

- ☐ a certification as specified in 37 CFR 1.97(e);

**OR**

- ☐ the fee set forth in 37 CFR 1.17(p) for submission of an Information Disclosure Statement under 37 CFR 1.97(c).

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**Payment of Fee**

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of \_\_\_\_\_ is attached.
- ☐ The Assistant Commissioner is hereby authorized to charge and credit Deposit Account No. \_\_\_\_\_ as described below. A duplicate copy of this sheet is enclosed.
- ☐ Charge the amount of \_\_\_\_\_
- ☐ Credit any overpayment.
- ☐ Charge any additional fee required.

**Certificate of Transmission by Facsimile\***

I certify that this document and authorization to charge deposit account is being facsimile transmitted to the United States Patent and Trademark Office (Fax. No. \_\_\_\_\_)

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(Date)

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*Signature*

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**Certificate of Mailing by First Class Mail**

I certify that this document and fee is being deposited on \_\_\_\_\_ with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

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*Signature of Person Mailing Correspondence*

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*Typed or Printed Name of Person Mailing Correspondence*

\*This certificate may only be used if paying by deposit account.

  
*Signature*

George J. Lyman  
Registration No. 44,884  
Customer No. 23413

Dated: **March 30, 2001**

CC:

**CERTIFICATE OF MAILING BY "EXPRESS MAIL" (37 CFR 1.10)**Applicant(s): **BAHRAM JAVIDI ET AL**

Docket No.

UCT-0016

Serial No.

Filing Date

Examiner

Group Art Unit

J1511 U.S. PTO  
09/822805

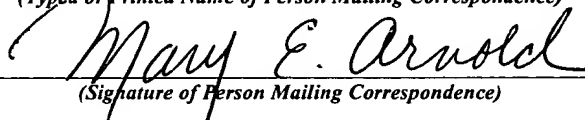
03/30/01

Invention: **MULTI-DIMENSIONAL PATTERN RECOGNITION BY USE OF DIGITAL HOLOGRAPHY**

I hereby certify that the following correspondence:

**NEW UTILITY PATENT APPLICATION***(Identify type of correspondence)*

is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 in an envelope addressed to: The Assistant Commissioner for Patents, Washington, D.C. 20231 on

**MARCH 30, 2001***(Date)***Mary E. Arnold***(Typed or Printed Name of Person Mailing Correspondence)**(Signature of Person Mailing Correspondence)***EL718783537 US***("Express Mail" Mailing Label Number)***Note: Each paper must have its own certificate of mailing.**

**INFORMATION DISCLOSURE CITATION**  
(Use several sheets if necessary)

Docket Number (Optional)

UCT-0010

Application Number

Applicant(s)

BAHRAM JAVIDI ET AL

Filing Date

Group Art Unit

1011 U.S. PT  
09/822805

03/30/01

**U.S. PATENT DOCUMENTS**

| *EXAMINER<br>INITIAL | REF | DOCUMENT NUMBER | DATE     | NAME         | CLASS | SUBCLASS | FILING DATE<br>IF APPROPRIATE |
|----------------------|-----|-----------------|----------|--------------|-------|----------|-------------------------------|
|                      |     | 4,832,447       | 05/23/89 | Javidi       | —     | —        |                               |
|                      |     | 5,119,443       | 06/02/92 | Javidi et al | —     | —        |                               |
|                      |     | 5,367,579       | 11/22/94 | Javidi et al | —     | —        |                               |
|                      |     | 5,485,312       | 01/16/96 | Horner et al | —     | —        |                               |
|                      |     | 5,699,449       | 12/16/97 | Javidi       | —     | —        |                               |
|                      |     | 5,841,907       | 11/24/98 | Javidi et al | —     | —        |                               |
|                      |     |                 |          |              |       |          |                               |
|                      |     |                 |          |              |       |          |                               |
|                      |     |                 |          |              |       |          |                               |
|                      |     |                 |          |              |       |          |                               |
|                      |     |                 |          |              |       |          |                               |
|                      |     |                 |          |              |       |          |                               |

**FOREIGN PATENT DOCUMENTS**

|  | REF | DOCUMENT NUMBER | DATE | COUNTRY | CLASS | SUBCLASS | Translation |    |
|--|-----|-----------------|------|---------|-------|----------|-------------|----|
|  |     |                 |      |         |       |          | YES         | NO |
|  |     |                 |      |         |       |          |             |    |
|  |     |                 |      |         |       |          |             |    |
|  |     |                 |      |         |       |          |             |    |
|  |     |                 |      |         |       |          |             |    |
|  |     |                 |      |         |       |          |             |    |

**OTHER DOCUMENTS** (Including Author, Title, Date, Pertinent Pages, Etc.)

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EXAMINER

DATE CONSIDERED

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP Section 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.